# SEC Form 4

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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

#### OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

| 1. Name and Address of Reporting Person*    2. Issuer Name and Ticker or Trading Symbol    5. Relationship of Reporting Person(s) to Issuer      REED MICHAEL   |                    |  |  |
|---|--------------------|--|--|
| (Last)    (First)    (Middle)      220 EAST FIRST STREET    3. Date of Earliest Transaction (Month/Day/Year)    SVP R&D and CSO      (Street)    4. If Amendment, Date of Original Filed (Month/Day/Year)    6. Individual or Joint/Group Filing (Check Applicable Line)      BETHLEHEM PA    18015    X Form filed by One Reporting Person      Form filed by More than One Reporting Person    Form filed by More than One Reporting Person |                    | 8,   | (Check all applicable)<br>Director 10% Owner<br>Officer (give title Other (specify     |
| (Street)  BETHLEHEM PA  18015   |                    |  |  |
|   | BETHLEHEM PA 18015 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | Line)<br>X Form filed by One Reporting Person<br>Form filed by More than One Reporting |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |                         |   | 4. Securities<br>Disposed Of |               |          | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|-------------------------|---|------------------------------|---------------|----------|---|---|---|
|                                 |  |   | Code                    | v | Amount                       | (A) or<br>(D) | Price    | Transaction(s)<br>(Instr. 3 and 4)  |   | (1130.4)  |
| Common stock                    | 02/01/2018                                 |   | <b>F</b> <sup>(1)</sup> |   | 1,882                        | D             | \$21.645 | 28,328  | D   |   |
| Common stock                    | 02/01/2018                                 |   | A <sup>(2)</sup>        |   | 3,593                        | Α             | (2)      | 31,921  | D   |   |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |     | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr. 3<br>and 4) |       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|--|---|------------------------------|---|---|-----|--|---|-------|---|--|--|---------------------------------------|--|
|   |   |  |   | Code                         | v | (A)   | (D) | Date<br>Exercisable                            | Expiration<br>Date  | Title | Amount<br>or<br>Number<br>of<br>Shares              |  |  |                                       |  |

**Explanation of Responses:** 

1. Withholding of shares to pay the tax liability associated with vesting of restricted shares.

2. Grant of restricted shares under the OraSure Technologies, Inc. Stock Award Plan, with one-third of the grant vesting on February 1, 2019, February 1, 2020, and February 1, 2021. Vesting shall cease upon voluntary termination of employment.

### **Remarks:**

Mark L. Kuna, as Attorney-in-02/05/2018 fact for Michael Reed (Power of Attorney previously filed). Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.